

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
15689.76

In Re Application Of: **Shunichi Sekiguchi et al.**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/935,889	Augsut 23, 2001	Unknown	022913	2152	Unknown

Title: **INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD**



Address to:  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:
- ☐ the statement specified in 37 CFR 1.97(e);
- OR**
- ☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 23-3178 as described below.
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Dated: November 5, 2004

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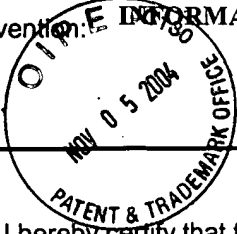

Reg. No.: 42,785

Attorney for Applicant

CC:

11-08-64

2152

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)			Docket No.	
Applicant(s): Shunichi Sekiguchi et al.			15689.76 <i>DFW</i>	
Application No. 09/935,889	Filing Date August 23, 2001	Examiner Unknown	Customer No. 022913	Group Art Unit 2152
Invention: <b>INFORMATION DELIVERY SYSTEM AND INFORMATION DELIVERY METHOD</b>				
				
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PATENT APPLICATION

Docket No: 15689.76

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Shunichi Sekiguchi et al.

Serial No.: 09/935,889

Filing Date: August 23, 2001

For: INFORMATION DELIVERY SYSTEM AND  
INFORMATION DELIVERY METHOD

Customer No.: 022913

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) Art Unit  
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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. § 1.97

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its entirety) of which

the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(a)(3), the following concise explanation of the relevance of each listed reference that is not in the English language and unaccompanied by a translation into English is provided. The concise explanation for Japanese Publication No.: 10-021165 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 10-134038 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 09-091358 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 11-136365 is provided in the English abstract of that publication. The concise explanation for Japanese Publication No.: 11-195065 is provided in the English abstract of that publication. An English translation of the "Japanese official Notice of Rejection " is also provided.

DATED November 5, 2004.

Respectfully submitted,



Adrian J. Lee  
Attorney for Applicant  
Registration No. 42,785  
Customer No. 022913

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

Docket Number (Optional)

15689.76

Application Number

09/935,889

Applicant(s)

Shunichi Sekiguchi et al.

Filing Date

August 23, 2001

Group Art Unit

2152

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
	1	11-195065	7/21/1999	Japan	G06F17	60	✓	
	2	11-136365	5/21/1999	Japan	H04M3	42	✓	
	3	09-091358	4/4/1997	Japan	G06F19	00	✓	
	4	10-134038	5/22/1998	Japan	G06F17	21	✓	
	5	10-021165	1/23/1998	Japan	G06F13	00	✓	

**OTHER DOCUMENTS**

(Including Author, Title, Date, Pertinent Pages, Etc.)

	6	Official Notice of Rejection (Translation ) Case No.: DCMH130241 Patent Application No.: 2001-256659

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.